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H. Samuel Frost B.A. (Eng. Sci.), M.Sc. (Nucl. Eng.) 416 957 1687 sfrost@bereskinparr.com

Your Reference: 09/811,684 Our Reference: 11483-98

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231 U.S.A.

Dear Sir:

Re: United States Continuation Patent Application No. 09/811,684

For: Risk Management System, Distributed Framework and Method

Filed: March 20, 2001

Applicant: Dembo, Ron et al.

We are writing to request correction of a typographical error in the spelling of an inventor's name as printed on the filing receipt dated April 26, 2001. The co-inventor DAVID <u>PENNY</u> was incorrectly named as DAVID PERRY due to a typographical error made in the preparation of the Application Data Sheet. We note that the declaration, assignment, and power of attorney correctly name this co-inventor as DAVID PENNY.

Therefore, the applicants of the above application should be corrected to read: Ron Dembo, Alon Adar, Neil Edward Bartlett, Brian Parkinson, David <u>Penny</u>, and Michael Zerbs.

Please apply this correction against the above application. We look forward to receiving a corrected filing receipt.

Yours truly.

H. Samuel Frost

Registration No. 31,696

/KL

Encl.

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APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS | 09/811,684 | 03/20/2001 | 2164 | 952 | 13408.00007 | 6 | 29 | 4

CONFIRMATION NO. 1100

Date Mailed: 04/26/2001

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FILING RECEIPT
OC0000000000011030

27160
PATENT ADMINSTRATOR
KATTEN MUCHIN ZAVIS
SUITE 1600
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CHICAGO, IL 60661

AUG 1 7 2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be perfected as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING TRADERIE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ron Dembo, Toronto, CANADA; Alon Adar, Toronto, CANADA; Neil Edward Bartlett, Toronto, CANADA; Brian Parkinson, Toronto, CANADA; David Perry, Toronto, CANADA; Michael Zerbs, Markham, CANADA;

David Penny

Domestic Priority data as claimed by applicant
THIS APPLICATION IS A CON OF 09/323,680 06/02/1999

Foreign Applications

If Required, Foreign Filing License Granted 04/25/2001

Projected Publication Date: 08/02/2001

Non-Publication Request: No

Early Publication Request: No

Title

Risk management system, distributed framework and method

Preliminary Class

705

Data entry by : NEGASH, GHIDEI

Team: OIPE

Date: 04/26/2001